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DECLARATION FOR PATENT APPLICATIONDocket No. 421-15

As an inventor named below, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD OF SCREENING CANDIDATE COMPOUNDS FOR SUSCEPTIBILITY TO OXIDATIVE METABOLISM., the specification of which

(check one) ☐ is attached hereto.

☒ was filed on December 4, 1998 as Application Serial No.

09/205,762

and was amended on _____

(if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations 1.56(a). In compliance with this duty, an Information Disclosure Statement in accordance with Title 37, Code of Federal Regulations 1.97

☐ is attached hereto.

(check one) ☒ will be submitted later (if necessary).

☐ was filed with patent application

I hereby claim priority benefits under Title 35, United States Code 119, of any application(s) for patent or inventor's certificate listed below and have also identified below any application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Application(s)

Priority Claimed

<u>None</u>			Yes	No
(Number)	(Country)	(Day/Month/Year Filed)		
 			Yes	No
(Number)	(Country)	(Day/Month/Year Filed)		
 			Yes	No
(Number)	(Country)	(Day/Month/Year Filed)		

I hereby claim the benefit under Title 35, United States Code 120, of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations 1.56(a), which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>None</u>		
(Application Serial No.)	(Filing Date)	(Status--patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status--patented, pending, abandoned)

I hereby appoint the following attorney(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Richard E. Jenkins (Registration No. 28,428); Jennifer L. Skord (Registration No. 30,687); Jeffrey L. Wilson (Registration No. 36,058); Arles A. Taylor, Jr. (Registration No. 39,395); and/or David P. Gloekler (Registration No. 41,037).

Address all telephone calls to Richard E. Jenkins or Jennifer L. Skord or Jeffrey L. Wilson or Arles A. Taylor, Jr., or David P. Gloekler at telephone number (919) 493-8000.

Address all correspondence to:

Jenkins & Wilson, P.A.
Suite 1400 University Tower
3100 Tower Boulevard
Durham, North Carolina 27707

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Dhiren R. Thakker

Inventor's signature *Dhiren R. Thakker* Date 1/28/99

Residence Raleigh, North Carolina Citizenship USA

Post Office Address 317 Dalton Drive,
Raleigh, North Carolina 27615

Full name of second joint inventor, if any Cuiping Chen

Inventor's signature *Cuiping Chen* Date 2/9/99

Residence Wallingford, Connecticut Citizenship Republic of China

Post Office Address 47 Putter Drive
Wallingford, CT 06492

Full name of third joint inventor, if any _____

Inventor's signature _____ Date _____

Residence _____ Citizenship _____

Post Office Address _____

Full name of fourth joint inventor, if any _____

Inventor's signature _____ Date _____

Residence _____ Citizenship _____

Post Office Address _____

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PATENT

Atty Docket: 421/15

ASSIGNMENT

WHEREAS, we, Dhiren R. Thakker, City of Raleigh, State of North Carolina, and Cuiping Chen, City of Wallingford, State of Connecticut, (hereinafter "Assignors"), have invented certain new and useful improvements in METHOD OF SCREENING CANDIDATE COMPOUNDS FOR SUSCEPTIBILITY TO OXIDATIVE METABOLISM for which application for Letters Patent of the United States was made on December 4, 1998 as Serial No. 09/205,762.

AND, WHEREAS, The University of North Carolina, a non-profit organization located at Chapel Hill, North Carolina, (hereinafter "Assignee"), is desirous of acquiring an interest therein.

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors have sold and by these presents do hereby sell, assign, transfer and convey unto the said Assignee, its successors and assigns, their entire right, title and interest in and to the said invention and application, and in and to any and all continuations, continuations-in-part, or divisions thereof, and in and to any and all Letters Patent of the United States of America and all foreign countries or reissues thereof which may be granted therefor or thereon, for the full end of the term for which said Letters Patent may be granted, together with their right to claim the priority of said application in all foreign countries in accordance with the International Convention, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignors if this assignment and sale had not been made.

Assignors hereby request that said Letters Patent be issued in accordance with this assignment.

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Assignors further covenant and agree that, at the time of the execution and delivery of these presents, Assignors possess full title to the invention and application above-mentioned, and that they have the unencumbered right and authority to make this assignment.

Assignors further covenant and agree, and likewise bind their heirs, legal representatives and assigns, to promptly communicate to said Assignee or its representatives any facts known to them relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the Assignee, its representatives, successors and assigns to secure patent or similar protection for the said invention in all countries and to vest in the Assignee complete title to the said invention and Letters Patent, without further compensation, but at the expense of said Assignee, its successors, assigns and other legal representatives.

Executed this 2nd day of February 1999.


Dhiren R. Thakker

Witnessed by:

Dennis Johnson 2/2/99
Name Date

[Signature] 2-2-99
Name Date

Executed this 9th day of February 1999.

Cuiping Chen
Cuiping Chen

Witnessed by:

Xingrong Liu Feb 9, 1999
Name Date

Dale S. Krishna Feb 9, 1999
Name Date

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07-11-2007

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Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Dhiren R. Thakker

Cuiping Chen

MRD
2/23/99

2. Name and address of receiving party(ies):

Name: The University of North Carolina

Internal Address: _____

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Street Address: 308 Bynum Hall

City: Chapel Hill State: NC ZIP: 27599-4105

Execution Date: February 9, 1999

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/205,762

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jenkins & Wilson, P.A.

Internal Address: _____

Street Address: Suite 1400, University Tower

3100 Tower Boulevard

City: Durham State: NC ZIP: 27707

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0426

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Arles A. Taylor, Jr.

Name of Person Signing

Arles A. Taylor, Jr.

Signature

February 23, 1999

Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

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**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JULY 11, 2001

PTAS



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SUITE 1400, UNIVERSITY TOWER
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**UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/23/1999

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

THAKKER, DHIREN R.

DOC DATE: 02/02/1999

ASSIGNOR:

CHEN, CUIPING

DOC DATE: 02/09/1999

ASSIGNEE:

UNIVERSITY OF NORTH CAROLINA, THE
308 BYNUM HALL
CHAPEL HILL, NORTH CAROLINA

27599-4105

SERIAL NUMBER: 09205762
PATENT NUMBER:

FILING DATE: 12/04/1998
ISSUE DATE:

DOCKET DATES:

N/A

ASSIGNED ATTY:

AAT

FILE NO.

A21/15

DOCKETED BY:

Ksf

DATE:

07/17/01

JUL 18 2001

LAZENA MARTIN, EXAMINER
ASSIGNMENT DIVISION
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